

APPLICATION TRANSMITTAL FORM

PRIOR APPLICATION

Examiner: J. Ulm

Art Unit: 1645

To the Assistant Commissioner for Patents:

This is a Request for filing a divisional application under 37 CFR 1.53(b), of pending prior application Serial No. 09/042,943, filed on March 17, 1998, entitled METHODS OF IDENTIFYING COMPOUNDS HAVING NUCLEAR RECEPTOR NEGATIVE HORMONE AND/OR ANTAGONIST ACTIVITIES by the following named inventors:

| | | | | | |
|-----|---------------------------|---|--|--|---------------------------|
| 201 | Full Name of Inventor | Last Name: Klein | First Name: Elliott | Middle Name: S. | |
| | Residence and Citizenship | City: Marina Del Rey | State or Foreign Country: CA | Country Of Citizenship: USA | |
| | Post Office Address | Post Office Address: 13211 Admiral Ave. | City: Marina Del Rey | State or Country: California | Zip Code: 90292 |
| 202 | Full Name of Inventor | Last Name: Johnson | First Name: Alan | Middle Name: T. | |
| | Residence and Citizenship | City: Rancho Santa Margarita | State or Foreign Country: California | Country Of Citizenship: USA | |
| | Post Office Address | Post Office Address: 7 Via Arribo | City: Rancho Santa Margarita | State or Country: California | Zip Code: 92688 |
| 203 | Full Name of Inventor | Last Name: Standevan | First Name: Andrew | Middle Name: M. | |
| | Residence and Citizenship | City: Ventura | State or Foreign Country: California | Country Of Citizenship: USA | |
| | Post Office Address | Post Office Address: 1276 Seafarer Street | City: Ventura | State or Country: California | Zip Code: 93001 |
| 204 | Full Name of Inventor | Last Name: Beard | First Name: Richard | Middle Name: L. | |
| | Residence and Citizenship | City: Newport Beach | State or Foreign Country: California | Country Of Citizenship: USA | |
| | Post Office Address | Post Office Address: 2341 Azure Avenue | City: Newport Beach | State or Country: California | Zip Code: 92660 |
| 205 | Full Name of Inventor | Last Name: Gillett | First Name: Samuel | Middle Name: J. | |
| | Residence and Citizenship | City: Oakland | State or Foreign Country: California | Country Of Citizenship: USA | |

| | | | | | |
|-----|---------------------------|--|--|---|---------------------------|
| | Post Office Address | Post Office Address: 6125 Hillmont Drive | City: Oakland | State or Country: California | Zip Code: 94605 |
| 206 | Full Name of Inventor | Last Name: Duong | First Name: Tien | Middle Name: T. | |
| | Residence and Citizenship | City: Irvine | State or Foreign Country: California | Country Of Citizenship: USA | |
| | Post Office Address | Post Office Address: 267 Orange Blossom | City: Irvine | State or Country: California | Zip Code: 92620 |
| 207 | Full Name of Inventor | Last Name: Nagpal | First Name: Sunil | Middle Name: | |
| | Residence and Citizenship | City: Lake Forest | State or Foreign Country: CA | Country Of Citizenship: USA | |
| | Post Office Address | Post Office Address: 22031 Elsberry | City: Lake Forest | State or Country: California | Zip Code: 92630 |
| 208 | Full Name of Inventor | Last Name: Teng | First Name: Min | Middle Name: | |
| | Residence and Citizenship | City: Aliso Viejo | State or Foreign Country: CA | Country Of Citizenship: Peoples Republic of China | |
| | Post Office Address | Post Office Address: 2 Dove Street | City: Aliso Viejo | State or Country: California | Zip Code: 92656 |
| 209 | Full Name of Inventor | Last Name: Chandraratna | First Name: Roshantha | Middle Name: A. | |
| | Residence and Citizenship | City: Mission Viejo | State or Foreign Country: CA | Country Of Citizenship: USA | |
| | Post Office Address | Post Office Address: 25841 Empresa | City: Mission Viejo | State or Country: California | Zip Code: 92691 |

This application is a divisional of application Ser. No. 09/042,943, filed March 17, 1998, which was a continuation-in-part of application Ser. No. 08/613,863, filed on Mar. 11, 1996, now U.S. Pat. No. 5,776,699, which claimed the benefit of priority under 35 U.S.C. §119(e) of the three following U.S. applications, each of which was filed as a nonprovisional application and converted to a provisional application by separate petitions filed on Jan. 31, 1996: application Ser. No. 08/522,778, filed Sep. 1, 1995, which became provisional application Ser. No. 60/019,015; application Ser. No. 08/522,779, filed Sep. 1, 1995 which became provisional application Ser. No. 60/064,853; and application Ser. No. 08/542,648, filed Oct. 13, 1995 which became provisional application Ser. No. 60/020,501. The complete disclosures of these related applications is hereby incorporated herein by this reference thereto.

Oath or Declaration:

- () Enclosed is a newly executed oath or declaration.
(x) Enclosed is a copy of the oath or declaration signed by all or fewer than all the named inventors from a prior application.
(X) A self-addressed return postcard is enclosed for verification of receipt.

| FOR | NUMBER FILED | NUMBER EXTRA | | RATE | FEE |
|--------------------------|-----------------|-----------------|---|----------|----------|
| Basic Fee (Large entity) | | | | \$710.00 | \$710.00 |
| Total Claims | 39 minus 20= | 19 | × | \$18.00 | \$342.00 |
| Independent Claims | 1 minus 3 = | 0 | × | \$80.00 | 0 |

If application contains any multiple dependent claims(s), then add \$270.00\$270.00

| | |
|-------------------------|------------------|
| TOTAL FILING FEE | \$1322.00 |
|-------------------------|------------------|

- (X) The Commissioner is hereby authorized to charge any fees that may be incurred as a result of this communication, or credit any overpayment to Deposit Account No. 01-0885. A duplicate copy of this sheet is enclosed for that purpose.

- (X) Cancel in this application original claims 1-20 of the prior application before calculating the filing fee.

[X] Amend the specification by inserting before the first line the sentence: --This application is a divisional of application Ser. No. 09/042,943, filed March 17, 1998, which was a continuation-in-part of application Ser. No. 08/613,863, filed on March 11, 1996, now U.S. Pat. No. 5,776,699, which claimed the benefit of priority under 35 U.S.C. §119(e) of the three following U.S. applications, each of which was filed as a nonprovisional application and converted to a provisional application by separate petitions filed on Jan. 31, 1996: application Ser. No. 08/522,778, filed Sep. 1, 1995, which became provisional application Ser. No. 60/019,015; application Ser. No. 08/522,779, filed Sep. 1, 1995 which became provisional application Ser. No. 60/064,853; and application Ser. No. 08/542,648, filed Oct. 13, 1995 which became provisional application Ser. No. 60/020,501. The complete disclosures of these related applications is hereby incorporated herein by this reference thereto --

- () Priority of application Serial No., filed on, is claimed under 35 USC 119.
- () The certified copy has been filed in prior application Serial No. , filed .
- (X) The prior application is assigned of record to Allergan Sales, Inc. (Copy enclosed)
- (X) New formal drawings are enclosed in 20 sheets.

- (X) A Statement Pursuant to 37 CFR 1.821(e) is enclosed.
- [X] A Preliminary Amendment (1 page) is enclosed.
- (X) Form 1449 and Informational Disclosure Statement are enclosed.
- [X] A copy of the Power of Attorney in the prior application is enclosed.
- (X) The Power of Attorney is to Carlos A. Fisher, Registration Number 36,510.
- () A copy of the Request for Extension of Time filed in the prior application is enclosed.

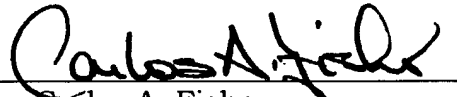
Please address all future communications to:

Carlos A. Fisher -T2-7H
ALLERGAN, INC.
2525 Dupont Drive
Irvine, CA 92612
Tel: 714-246-4920
Fax: 714-246-4249

Respectfully submitted,

Date:

Oct 10, 2000



Carlos A. Fisher
Registration No. 36,510
Attorney of Record

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Klein et al.)
)
 Serial No.: Not yet assigned)
)
 Filed: Herewith)
)
 For: Methods of Identifying)
 Compounds having Nuclear)
 Receptor Negative)
 Hormone and /or Antagonist Activities)
)
 Examiner: Not yet assigned)
)

Group Art Unit: Not yet assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail bearing Label No. EL385493222US in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

Date of Deposit: 10/10/00
 Printed Name of Person making Deposit: Carlos A. Fisher

Signature: Carlos A. Fisher
 Date of Signature: 10/10/00

CERTIFICATE OF EXPRESS MAILING

Box Patent Application
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Dear Sir:

Enclosed are a patent application for filing pursuant to 37 CFR 1.53(b). Specifically, accompanying this communication please find:

- (a) Specification in 219 pages, 5 pages claims, 1 page abstract;
- (b) 20 Sheets of Formal Drawings;
- (c) Transmittal sheet in three (3) pages (in duplicate);
- (d) Copy of Signed Declaration and Power of Attorney in three (3) pages SN 09/042,943;
- (e) Copy of Recorded Assignment and Assignment Cover Sheet from SN 09/042,943;
- (f) PTO 1449 and Information Disclosure Statement; and
- (g) Statement under 37 CFR § 1.821(e)

Respectfully submitted,

Date: 10/10/00

ALLERGAN, INC.

Carlos A. Fisher-T2-7H

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